

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of : January 28, 2002
D. Bruton, et al : IBM Corporation
Filing Date: 01/28/2002 : Dept.T81/Bldg. 503-3
Serial No. Not Assigned Yet : P.O. Box 12195
For: Intrusion Event Filtering and Generic Attack Signatures : Research Triangle Park,
: North Carolina 27709

10/058689
01/28/02
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INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

Applicants submit herewith patents, publications or other information which they became aware of and which there may be a duty to disclose in accordance with 37 CF 1.56 and 37 C.F.R. 1.97(e)(1).


While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98(a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,


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Docket No. RSW920020011US1

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FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. RSW920020011US1	SERIAL NO. Not Assigned Yet
	APPLICANT: D. Bruton, et al	
	FILING DATE: 01/28/2002	GROUP:

REFERENCE DESIGNATION				U.S. PATENT DOCUMENTS			
EXAMINER INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE (IF APPRO.)
	AA						
	AB						
	AC						

FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION
							YES NO
	AL						
	AM						
	AN						

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)		
AR		IBM Systems Journal, Vol. 40, No. 3, 2001, "Security on Z/OS: Comprehensive, Current and Flexible", by R. Guski, et al, pages 696 - 720.
		IBM Share Session 3411, February 27, 2001, "Introduction to Using IBM Communications Server for OS/390 TCP/IP Network Security Features", by Lin Overby, 37 pages.
AS		

EXAMINER	DATE CONSIDERED
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.
